

08/28/01  
JC961 U.S. PTO

08-29-01  
The Law Offices of  
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August 28, 2001

J1040 U.S. PTO  
09/941072  
08/28/01

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

Assistant Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

Sir:

Enclosed herewith for filing is the following  
**utility patent application:**

Attorney Docket No.: Poly-32

Applicants: David GOODMAN et al.

Title: **TRACKING FILES OF STORAGE MEDIA AND ENABLING USERS  
TO QUICKLY ASSOCIATE SUCH FILES WITH THE STORAGE  
MEDIA ON WHICH THEY ARE STORED**

**PATENT APPLICATION FILING FEE CALCULATION**

	<u>No. Filed</u>	<u>Less</u>	<u>Rate/Claim</u>	<u>Fee</u>
Total Claims	<u>39</u>	-20	19 x \$18.00	\$ 342.00
Independent Claims	<u>6</u>	-3	3 x \$80.00	\$ 240.00
			Minimum Filing Fee	\$ 710.00
			Multiple Dependency Fee (if applicable - \$270.00)	\$ 00.00
			50% Reduction for Small Entity (Independent Inventor, Non-profit Corporation, or Small Business Concern)	\$ 1,292.00
				\$- 00.00
			TOTAL FILING FEE	\$ 646.00
			ASSIGNMENT RECORDATION	\$ 40.00
			TOTAL FEES ENCLOSED	\$ 686.00

☒ Specification: 39 Total Pages (including claims and abstract)

☒ Drawing(s): 9 Total Sheets (FIGs. 1-9)

Also enclosed herewith for filing in connection with the enclosed application are:

☒ Our check in the amount of \$ 686.00 (the total filing fee) is enclosed herewith. If this check is unacceptable, insufficient or omitted, kindly charge the entire or additional filing fee, as appropriate, to the deposit account of **Straub & Pokotylo**, deposit account number **50-1049**. To facilitate that charge, a duplicate copy of this letter is enclosed herewith.

☒ Postcard Receipt;

☐ Preliminary Amendment;

☒ Oath or Declaration:

☒ Newly executed on August 22, 24 and 27, 2001;

☐ Copy from a prior application (36 C.F.R. § 1.63(d));

☒ Assignment Papers to: Polytechnic University;

☒ Information Disclosure Statement, modified PTO-1449, and 6 cited reference(s) (AA.-AF.);

☐ Letter referencing previously filed disclosure document; number \_\_\_\_\_ filed \_\_\_\_\_;

☒ **Assertion of Small Entity Status -**  
Small Entity Status is hereby claimed;

☐ Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b);

☐ Microfiche Computer Program (Appendix);

☐ English translation document;

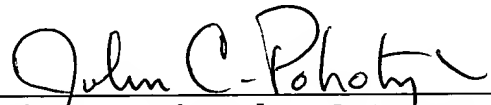
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☐ Submission of Priority Document, a certified copy of a \_\_\_\_\_ patent application or inventor's certificate, filed \_\_\_\_\_ and assigned serial no. \_\_\_\_\_, upon which a claim to priority is made; and

☐ Other: \_\_\_\_\_

Respectfully submitted,

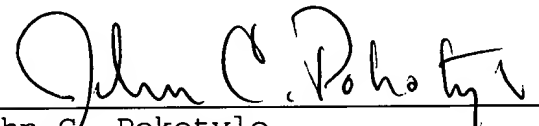
STRAUB & POKOTYLO

  
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\*\*\*EXPRESS MAIL CERTIFICATION\*\*\*

"Express Mail" mailing label number: EL667251758US  
Date of deposit: August 28, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, **Box Patent Application**, Washington, D.C. 20231.

  
John C. Pokotylo

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